



RECEIVED

SEP 20 2001

Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kloba *et al.*

Appl. No. 09/705,927

Filed: November 6, 2000

For: **System, Method, and Computer  
Program Product for a Scalable,  
Configurable, Client/Server,  
Cross-Platform Browser for  
Mobile Devices**

Confirmation No. 8134

Art Unit: 2154

Examiner: Zarni Maung

Atty. Docket: 1933.0010009

### Information Disclosure Statement

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application

does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
  - ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
  - ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
  - ☐ c. Attached is our Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice

of Allowance, but before payment of the Issue Fee. Enclosed find our Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

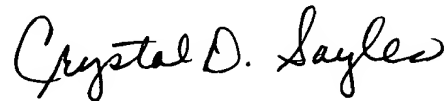
- ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 4. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 5. A concise explanation of the relevance of the non-English language document(s) appears below:
- ☐ 6. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

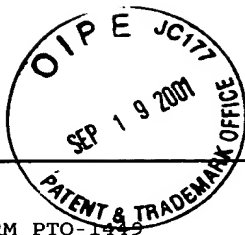
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Crystal D. Sayles  
Attorney for Applicants  
Registration No. 44,318

Date: 9/19/01

1100 New York Avenue, N.W.  
Suite 600  
Washington, D.C. 20005-3934  
(202) 371-2600



FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA1	5,349,678	09/1994	Morris et al.	395	800	
	AB1	5,377,326	12/1994	Murata et al.	395	200	
	AC1	5,410,543	04/1995	Seitz et al.	370	85.13	
	AD1	5,426,594	06/1995	Wright et al.	364	514 R	
	AE1	5,673,322	09/1997	Pepe et al.	380	49	
	AF1	5,684,828	11/1997	Bolan et al.	375	238	
	AG1	5,684,990	11/1997	Boothby	395	619	
	AH1	5,694,546	12/1997	Reisman	395	200.9	
	AI1	5,727,129	03/1998	Barrett et al.	395	12	
	AJ1	5,732,074	03/1998	Spaur et al.	370	313	
	AK1	5,740,364	04/1998	Drerup	395	200.09	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL1	W0 98/34203	08/1998	PCT	G07F	19/00	Yes No
	AM1	W0 98/34422	08/1998	PCT	H04Q	7/00	Yes No
	AN1	W0 00/14625	03/2000	PCT	G06F	3/14	Yes No
	AO1	0 848 339 A1	06/1998	EP	G06F	17/30	Yes No
	AP1	0 876 034 A2	11/1998	EP	H04L	29/06	Yes No

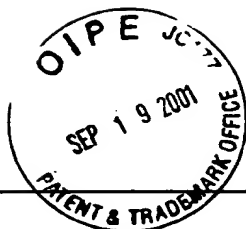
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>1</u>	"Method for bookmarking web pages of temporary interest," Research Disclosure, RD429108, Disclosed by Ericsson, Inc., January 2000, Kenneth Mason Publications, Ltd., <a href="http://www.researchdisclosure.com">http://www.researchdisclosure.com</a> , 1 page.
	AS	<u>1</u>	"Appointments Off By One Hour After Synchronization," <a href="http://support.microsoft.com/support/kb/articles/q195/5/79.asp">http://support.microsoft.com/support/kb/articles/q195/5/79.asp</a> , last reviewed September 2, 1999, provided by Microsoft Product Support Services, 1 page.
	AT	<u>1</u>	"Russian Nino Computer," <a href="http://webideas.com/russian/systems/nino301.htm">http://webideas.com/russian/systems/nino301.htm</a> , last visited July 25, 2000, Web Ideas Int'l Co., 1 page.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009

APPLICATION NO.  
09/705,927

APPLICANT  
Kloba et al.

FILING DATE  
November 6, 2001

GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA2	5,754,774	05/1998	Bittinger et al.	395	200.33	
	AB2	5,768,511	06/1998	Galvin et al.	395	200.33	
	AC2	5,790,977	08/1998	Ezekiel	702	122	
	AD2	5,794,259	08/1998	Kikinis	707	507	
	AE2	5,799,063	08/1998	Krane	379	67	
	AF2	5,802,292	09/1998	Mogul	395	200.33	
	AG2	5,805,807	09/1998	Hanson et al.	395	200.09	
	AH2	5,809,242	09/1998	Shaw et al.	395	200.47	
	AI2	5,813,007	09/1998	Nielsen	707	10	
	AJ2	5,850,517	12/1998	Verkler et al.	395	200.32	
	AK2	5,862,339	01/1999	Bonnaure et al.	395	200.57	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL2	0 944 009 A2	09/1999	EP	G06F	17/30	Yes No
	AM2						Yes No
	AN2						Yes No
	AO2						Yes No
	AP2						Yes No

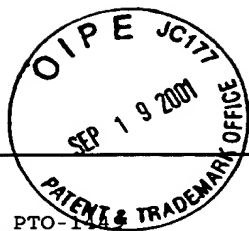
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	2	"512KB Organizer w/Synchronization," <a href="http://www.codemicro.com/fs/pl11109.html">http://www.codemicro.com/fs/pl11109.html</a> , last visited July 25, 2000, codemicro.com.
	AS	2	"Synchronization Between Your Handheld PC and Desktop PC," <a href="http://www.microsoft.com/MOBILE/hpc/features/synch.asp">http://www.microsoft.com/MOBILE/hpc/features/synch.asp</a> , last visited July 25, 2000, Microsoft, Inc., 1 page.
	AT	2	"Puma Adds Security to Wireless Syncing Software," <a href="http://devices.internet.com/news/q29908/990802puma/990802puma.html">http://devices.internet.com/news/q29908/990802puma/990802puma.html</a> , last visited July 25, 2000, Copyright 1999, internet.com Corp., 1 page.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-158

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA3	5,862,346	01/1999	Kley et al.	395	200.73	
	AB3	5,864,676	01/1999	Beer et al.	395	200.59	
	AC3	5,873,100	02/1999	Adams et al.	707	204	
	AD3	5,877,766	03/1999	Bates et al.	345	357	
	AE3	5,881,234	03/1999	Schwob	395	200.49	
	AF3	5,890,158	03/1999	House et al.	707	10	
	AG3	5,895,471	04/1999	King et al.	707	104	
	AH3	5,896,502	04/1999	Shieh et al.	395	200.54	
	AI3	5,906,657	05/1999	Tognazzini	709	248	
	AJ3	5,918,013	06/1999	Mighdoll et al.	395	200.47	
	AK3	5,918,237	06/1999	Montalbano	707	513	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL3						Yes No
	AM3						Yes No
	AN3						Yes No
	AO3						Yes No
	AP3						Yes No

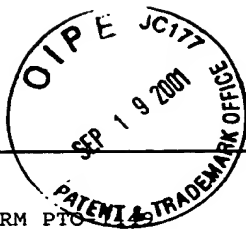
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	3	"iMobile Data Synchronization Replicates Mobile Databases," <a href="http://www.synchrologic.com/about/about_imobile_data_synchronization.html">http://www.synchrologic.com/about/about_imobile_data_synchronization.html</a> , 2 pages, last visited July 25, 2000, Synchrologic, Inc.
	AS	3	"WeSync.com Previews Wireless Synchronization Solution for Handheld Computers: Collaborative Service for Handheld Users Moves Into Public Beta Today; Wireless Refresh (TM) Lets Users Perform Narrow Bandwidth Wireless Syncs," <a href="http://www.palmsizepc.com/oct99-20-1.html">http://www.palmsizepc.com/oct99-20-1.html</a> , last visited July 25, 2000, 3 pages, WeSync.com, Inc.
	AT	3	"XTNDConnect Server White Papers," <a href="http://www.extendsys.com/prodinfo/white/serversync/">http://www.extendsys.com/prodinfo/white/serversync/</a> , last visited July 25, 2000, 1 page, Extended Systems, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA4	5,922,045	07/1999	Hanson	709	206	
	AB4	5,937,163	08/1999	Lee et al.	395	200.48	
	AC4	5,943,676	08/1999	Boothby	707	201	
	AD4	5,946,697	08/1999	Shen	707	104	
	AE4	5,948,066	09/1999	Whalen et al.	709	229	
	AF4	5,953,392	09/1999	Rhie et al.	379	88.13	
	AG4	5,954,795	09/1999	Tomita et al.	709	218	
	AH4	5,961,601	10/1999	Iyengar	709	229	
	AI4	5,961,602	10/1999	Thompson et al.	709	229	
	AJ4	5,978,828	11/1999	Greer et al.	709	2.24	
	AK4	5,978,833	11/1999	Pashley et al.	709	200	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL4						Yes No
	AM4						Yes No
	AN4						Yes No
	AO4						Yes No
	AP4						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

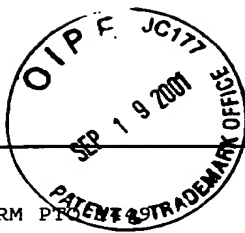
	AR	<u>4</u>	"Introduction to Wireless Internet & Mobile Computing Market," Copyright 1999, Wireless Internet & Mobile Computing, <a href="http://www.wirelessinternet.com/introtol.htm">http://www.wirelessinternet.com/introtol.htm</a> , last visited July 24, 2000, 4 pages.
	AS	<u>4</u>	"Databites," <a href="http://www.databites.com/company_info.htm">http://www.databites.com/company_info.htm</a> , Databites Corporation, 2 pages, last visited July 26, 2000.
	AT	<u>4</u>	"Tools for the TEKS: Integrating Technology in the Classroom: "Offline Web Browsing=No More Excuses!," Copyright 1998, Wesley A. Fryer, last visited June 22, 2000, <a href="http://www.wtvi.com/teks/article4.html">http://www.wtvi.com/teks/article4.html</a> , 9 pages.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO  
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1933.0010009APPLICATION  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA5	5,978,842	11/1999	Noble et al.	709	218	
	AB5	5,987,454	11/1999	Hobbs	707	4	
	AC5	5,987,476	11/1999	Imai et al.	707	201	
	AD5	5,987,499	11/1999	Morris et al.	709	203	
	AE5	5,991,800	11/1999	Burke et al.	709	218	
	AF5	6,006,231	12/1999	Popa	707	101	
	AG5	6,009,462	12/1999	Birrell et al.	709	206	
	AH5	6,021,433	02/2000	Payne et al.	709	219	
	AI5	6,023,698	02/2000	Lavey, Jr. et al.	707	10	
	AJ5	6,023,708	02/2000	Mendez et al.	707	203	
	AK5	6,026,474	02/2000	Carter et al.	711	202	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL5						Yes No
	AM5						Yes No
	AN5						Yes No
	AOS						Yes No
	AP5						Yes No

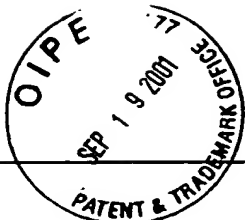
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	5	Story, Derrick "Palm Browsing," Miller-Freeman, Inc., July 30, 1999, <a href="http://www.webreview.com/pub/1999/07/30/feature/index.html">http://www.webreview.com/pub/1999/07/30/feature/index.html</a> , 2 pages.
	AS	5	Shultz, Greg "Take Advantage of Offline Browsing Tools: Learn to browse Web site content without being connected to the Internet," last visited June 22, 2000, <a href="http://www.zdnet.com/zdhelp/stories/main/0,5594,2395480,00.html">http://www.zdnet.com/zdhelp/stories/main/0,5594,2395480,00.html</a> , Copyright 2000, ZD Inc., 2 pages.
	AT	5	Dalrymple, Jim "Palm-like Internet appliance on the way from 3Com," , March 27, 2000, <a href="http://www.maccentral.com/news/0003/27.palm.shtml">http://www.maccentral.com/news/0003/27.palm.shtml</a> , Mac Publishing LLC, 3 pages.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1933.0010009APPLICATION NO  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154**RECEIVED**  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA6	6,029,175	02/2000	Chow et al.	707	104	
	AB6	6,032,162	02/2000	Burke	707	501	
	AC6	6,035,324	03/2000	Chang et al.	709	203	
	AD6	6,041,360	03/2000	Himmel et al.	709	245	
	AE6	6,049,821	04/2000	Theriault et al.	709	203	
	AF6	6,058,416	05/2000	Mukherjee et al.	709	203	
	AG6	6,061,718	05/2000	Nelson	709	206	
	AH6	6,065,051	05/2000	Steele et al.	709	219	
	AI6	6,065,059	05/2000	Shieh et al.	709	233	
	AJ6	6,070,184	05/2000	Blount et al.	709	200	
	AK6	6,076,109	06/2000	Kikinis	709	228	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL6						Yes No
	AM6						Yes No
	AN6						Yes No
	AO6						Yes No
	AP6						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>6</u>	"Offline Reading," <a href="http://www.microsoft.com/windows/Ie/Features/offline.asp">http://www.microsoft.com/windows/Ie/Features/offline.asp</a> , last updated March 18, 1999, Microsoft Corporation, 1 page.
	AS	<u>6</u>	"InContext FlashSite," <a href="http://ipw.internet.com/clients_servers/offline_browsers/916161991.html">http://ipw.internet.com/clients_servers/offline_browsers/916161991.html</a> , internet.com Corporation, January 12, 1999, 2 pages.
	AT	<u>6</u>	"AvantGo Enterprise™," <a href="http://avantgo.com/enterprise/products/index.html">http://avantgo.com/enterprise/products/index.html</a> , Copyright 2000 AvantGo, Inc., 2 pages, last visited June 21, 2000.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO

INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154**RECEIVED**  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA7	6,085,192	07/2000	Mendez et al.	707	10	
	AB7	6,119,167	09/2000	Boyle et al.	709	234	
	AC7	6,131,096	10/2000	Ng et al.	707	10	
	AD7	6,161,146	12/2000	Kley et al.	709	248	
	AE7	6,195,692 B1	02/2001	Hsu	709	219	
	AF7	6,209,027 B1	03/2001	Gibson	709	218	
	AG7	6,226,650 B1	05/2001	Mahajan et al.	707	201	
	AH7						
	AI7						
	AJ7						
	AK7						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes No
	AM7						Yes No
	AN7						Yes No
	AO7						Yes No
	AP7						Yes No

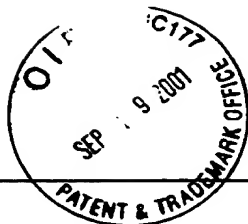
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	7	"PalmPilot Software Programming and Development," <a href="http://www.pencomputersolutions.com/">http://www.pencomputersolutions.com/</a> , Pen Computer Solutions, Inc., 4 pages, last edited June 16, 2000.
	AS	7	"Pocket Browser 1.52 for Palm-size PC," <a href="http://www.ceshopper.com/ceshopper/pocketbrowser.html">http://www.ceshopper.com/ceshopper/pocketbrowser.html</a> , Copyright 2000 CESHopper.com, last visited June 22, 2000, 1 page.
	AT	7	"Answers & Tips: Browse the web on any palm," <a href="http://web.zdnet.com/zdtv/screensavers/answerstips/story/0,3656,2408515,00.html">http://web.zdnet.com/zdtv/screensavers/answerstips/story/0,3656,2408515,00.html</a> , 2 pages, Copyright 1997-2000, ZDTV LLC, last visited June 22, 2000.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1933.0010009APPLICATION NO  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154**RECEIVED**  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA8						
	AB8						
	AC8						
	AD8						
	AE8						
	AF8						
	AG8						
	AH8						
	AI8						
	AJ8						
	AK8						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL8						Yes No
	AM8						Yes No
	AN8						Yes No
	AO8						Yes No
	AP8						Yes No

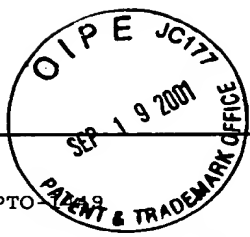
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	8	"Palm Announces Mobile Internet Kit, Linking Palm Handhelds With Mobile Phones for Wireless Web Connectivity: New Web Clipping Applications to Provide Out-of-the-box Access to Leading Internet Content," <a href="http://biz.yahoo.com/bw/000627/ny_palm_2.html">http://biz.yahoo.com/bw/000627/ny_palm_2.html</a> , Yahoo!, June 27, 2000, 3 pages.
	AS	8	"GoAmerica Licenses Oracle Platform," <a href="http://www.allnetdevices.com/wireless/news/2000/06/27/goamerica_licenses.html">http://www.allnetdevices.com/wireless/news/2000/06/27/goamerica_licenses.html</a> , internet.com Corp, June 27, 2000, 2 pages.
	AT	8	"ProxiWeb," <a href="http://aolcom.cnet.com/downloads/0-10126-100-1757359.html?taq=st.dl.10126_106_1.1st.td">http://aolcom.cnet.com/downloads/0-10126-100-1757359.html?taq=st.dl.10126_106_1.1st.td</a> , April 25, 2000, CNET Networks, Inc., 2 pages.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-9

INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA9						
	AB9						
	AC9						
	AD9						
	AE9						
	AF9						
	AG9						
	AH9						
	AI9						
	AJ9						
	AK9						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL9						Yes No
	AM9						Yes No
	AN9						Yes No
	AO9						Yes No
	AP9						Yes No

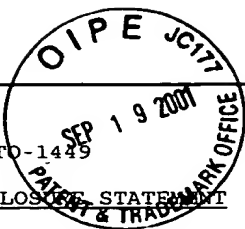
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>2</u>	Wilcox, Joe, "IBM aims to put computers on the wrist," <a href="http://cnet.com/news/0-1006-200-2160685.html">http://cnet.com/news/0-1006-200-2160685.html</a> , CNET Networks, Inc., June 27, 2000, 3 pages.
	AS	<u>2</u>	Portable Internet, <a href="http://www.portableinternet.com/">http://www.portableinternet.com/</a> , Copyright 2000, Portable Internet, Inc., last visited on July 5, 2000, 1 page.
	AT	<u>2</u>	BravuraNet, <a href="http://www.bravurnet.com">http://www.bravurnet.com</a> , Copyright 2000, BravuraNet, last visited July 13, 2000.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449  
INFORMATION DISCLOSURE STATEMENT  
PATENT & TRADEMARK OFFICE

ATTY. DOCKET NO.  
1933.0010009

APPLICATION NO.  
09/705,929

APPLICANT  
Kloba et al.

FILING DATE  
November 6, 2001

GROUP  
2154

Page 10 of 37

RECEIVED  
SEP 20 2001  
Technology Center 2100

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA10						
	AB10						
	AC10						
	AD10						
	AE10						
	AF10						
	AG10						
	AH10						
	AI10						
	AJ10						
	AK10						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL10						Yes No
	AM10						Yes No
	AN10						Yes No
	AO10						Yes No
	AP10						Yes No

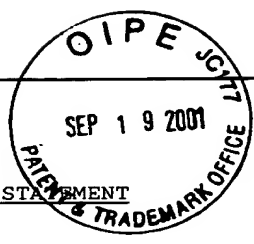
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>10</u>	"HotLinks: Store Bookmarks Online," <a href="http://www.zdnet.com/pcmaq/stories/firstlooks/0,6763,2403245,00.html">http://www.zdnet.com/pcmaq/stories/firstlooks/0,6763,2403245,00.html</a> , December 3, 1999, ZD Inc., 2 pages.
	AS	<u>10</u>	"MyBookmarks™," <a href="http://www.mybookmarks.com">http://www.mybookmarks.com</a> , Copyright 2000, MyBookmarks.com, LLC, last visited July 13, 2000, 1 page.
	AT	<u>10</u>	AvantGo Server Administration Guide, Version 2.0 for Windows NT, AvantGo Inc., September 1988, 135 pages.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449  
INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1933.0010009	APPLICATION NO. 09/705,927
APPLICANT Kloba et al.	
FILING DATE November 6, 2001	GROUP 2154

**RECEIVED**  
SEP 20 2001  
Technology Center 2100

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA11						
	AB11						
	AC11						
	AD11						
	AE11						
	AF11						
	AG11						
	AH11						
	AI11						
	AJ11						
	AK11						

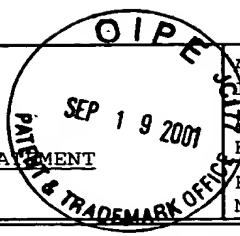
FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL11						Yes No
	AM11						Yes No
	AN11						Yes No
	AO11						Yes No
	AP11						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
AR	<u>11</u>	Press Release, "AvantGo Presents Mobile Computing Software at Herring On the Enterprise: Organizations such as Grenley-Stewart Resources and Rosenbluth International Already Reaping Benefits," April 6, 1998, AvantGo, Inc.	
AS	<u>11</u>	Press Release, "AvantGo to Demonstrate New Enterprise Applications for the 3Com® PalmPilot™ Connected Organizer at Seybold '98: Applications Enable Networked Digital Workflows for Publishing Environments," 2 pages, March 17, 1998, AvantGo, Inc.	
AT	<u>11</u>	Press Release, "AvantGo Software Extends Enterprise Applications to Handheld Devices: New Company Provides Instant Access to Essential Information for Mobile Professionals," 3 pages, February 17, 1998, AvantGo, Inc.	

EXAMINER	DATE CONSIDERED
<p><b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.</p>	

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA12						
	AB12						
	AC12						
	AD12						
	AE12						
	AF12						
	AG12						
	AH12						
	AI12						
	AJ12						
	AK12						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL12						Yes No
	AM12						Yes No
	AN12						Yes No
	AO12						Yes No
	AP12						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

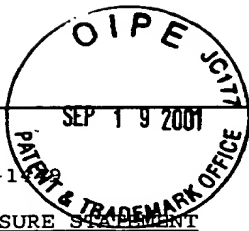
	AR	<u>12</u>	Press Release, "Visto and AvantGo Team to Extend Web-Based Personal Content to Palm Pilot and Windows CE Devices: Visto Briefcase Will Leverage AvantGo 2.0 for Mobile Workforce," 2 pages, September 21, 1998, AvantGo, Inc.
	AS	<u>12</u>	Press Release, "UpShot Partners with AvantGo to Display Latest Sales Data on Handhelds: Field Sales Representatives Will Have the Power of Sales Automation at Their Fingertips," 2 pages, September 21, 1998, AvantGo, Inc.
	AT	<u>12</u>	Press Release, "AvantGo Announces Business Partner Program: VARs, Systems Integrators, Distributors and Consultants to Deliver Enterprise Applications for Handheld Computers," 2 pages, September 21, 1998, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.





FORM PTO-1

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154**RECEIVED**  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA13						
	AB13						
	AC13						
	AD13						
	AE13						
	AF13						
	AG13						
	AH13						
	AI13						
	AJ13						
	AK13						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL13						Yes No
	AM13						Yes No
	AN13						Yes No
	AO13						Yes No
	AP13						Yes No

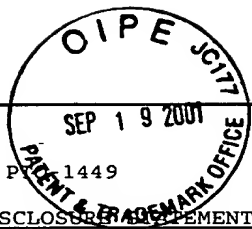
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>13</u>	Press Release, "Industry Bigshots and Upstarts Rally Around AvantGo 2.0: Microsoft, IBM, Oracle, Symbol Technologies and Palm Computing Support AvantGo," 3 pages, September 21, 1998, AvantGo, Inc.
	AS	<u>13</u>	Press Release, "Novatel Wireless and AvantGo Team Up to Provide Corporate Information to Wirelessly-Enabled Handhelds: Minstrel Modem and AvantGo 2.0 Extend Enterprise Connectivity," 2 pages, September 21, 1998, AvantGo, Inc.
	AT	<u>13</u>	Press Release, "AvantGo Releases AvantGo 2.0- The Definitive Enterprise Solution for Managing Mobile Information: New Product Offering Provides Centralized Administration, Universal Remote Access and Mobile Transactions Between Corporate Databases and Handhelds," 3 pages, September 21, 1998, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM P 1449  
INFORMATION DISCLOSURE AGREEMENTATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA14						
	AB14						
	AC14						
	AD14						
	AE14						
	AF14						
	AG14						
	AH14						
	AI14						
	AJ14						
	AK14						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL14						Yes No
	AM14						Yes No
	AN14						Yes No
	AO14						Yes No
	AP14						Yes No

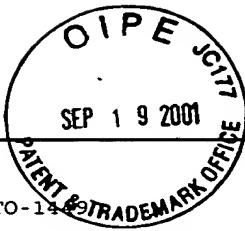
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>14</u>	Press Release, "Casio Announces Support for AvantGo: AvantGo to Deliver Enterprise Applications for Casio's Windows CE-Compatible Devices," 2 pages, September 21, 1998, AvantGo, Inc.
	AS	<u>14</u>	AvantGo Desktop and AvantGo WebClient for the 3Com PalmPilot™ Connected Organizer, "Readme," 2 pages, January 20, 1998, AvantGo, Inc.
	AT	<u>14</u>	Press Release, "AvantGo Integrated Into Pocket Internet Explorer for Pocket PCs: AvantGo Available on New Pocket PC Devices from Casio, Compaq, Hp and Symbol Technologies, 3 pages, April 19, 2000, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-144  
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA15						
	AB15						
	AC15						
	AD15						
	AE15						
	AF15						
	AG15						
	AH15						
	AI15						
	AJ15						
	AK15						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL15						Yes No
	AM15						Yes No
	AN15						Yes No
	AO15						Yes No
	AP15						Yes No

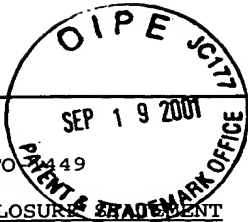
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>15</u>	Press Release, "AvantGo Completes \$31 Million Mezzanine Financing: Goldman Sachs, American Express, Ford Motor Company, McKessonHBOC and Research In Motion (RIM) Accelerate Growth of Business-to-Business Mobile Internet Company," 2 pages, April 17, 2000, AvantGo, Inc.
	AS	<u>15</u>	Press Release, "AvantGo Empowers BG's Leading Lights with Mobile Intranet and Internet Access: Mobile Software gives International Energy Company an Advantage in Customer care and Business Management," 3 pages, April 4, 2000, AvantGo, Inc.
	AT	<u>15</u>	Press Release, "AvantGo Embraces Macintosh Community: AvantGo Delivers Free Mobile Internet Service to Macintosh Users," 2 pages, March 27, 2000, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO 449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154**RECEIVED**  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
		AA16					
		AB16					
		AC16					
		AD16					
		AE16					
		AF16					
		AG16					
		AH16					
		AI16					
		AJ16					
		AK16					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
		AL16					Yes No
		AM16					Yes No
		AN16					Yes No
		AO16					Yes No
		AP16					Yes No

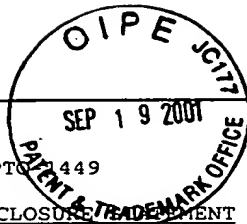
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>16</u>	Press Release, "AvantGo Joins GPRS Application Alliance: AvantGo Teams up with Ericsson to support Wireless Standards for Mobile Devices," 2 pages, February 28, 2000, AvantGo, Inc.
	AS	<u>16</u>	Press Release, "AvantGo Integrated in Microsoft's Internet Explorer for the Pocket PC: Out of the Box, Mobile Users Gain Access to the Mobile Internet via Pocket Internet Explorer and AvantGo," 2 pages, February 24, 2000, AvantGo, Inc.
	AT	<u>16</u>	Press Release, "AvantGo Joins Motorola Web w/o Wires Alliance Program: AvantGo Now Easily Accessible from Motorola Web Phones," 2 pages, February 24, 2000, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO 1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154**RECEIVED**  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA17						
	AB17						
	AC17						
	AD17						
	AE17						
	AF17						
	AG17						
	AH17						
	AI17						
	AJ17						
	AK17						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL17						Yes No
	AM17						Yes No
	AN17						Yes No
	AO17						Yes No
	AP17						Yes No

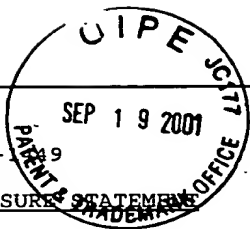
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>17</u>	Press Release, "AvantGo Optimizes User Experience On Palm IIIc™ Handheld Computers: With enhanced image support from AvantGo, Users Can View Web-Based Photos and Graphics in Color On New Devices," 2 pages, February 24, 2000, AvantGo, Inc.
	AS	<u>17</u>	Press Release, "AvantGo.Com Bolsters Business Channels: New relationships with Business Week, Infonautics, The Economist, Fidelity Investments and The Financial News among the more than 80 Optimized AvantGo.com Business Channels for Mobile Device Users," 2 pages, February 16, 2000, AvantGo, Inc.
	AT	<u>17</u>	Press Release, "Leading Labels and Music Sites Go Mobile With AvantGo.Com: Columbia Records, Universal's Interscope Geffen A&M, Ticketmaster Online-CitySearch's LiveDaily.com and Milor Entertainment Group join Amazon.com and mySimon.com to bring the Music Industry to Mobile Devices," 2 pages, February 14, 2000, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-9  
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA18						
	AB18						
	AC18						
	AD18						
	AE18						
	AF18						
	AG18						
	AH18						
	AI18						
	AJ18						
	AK18						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL18						Yes No
	AM18						Yes No
	AN18						Yes No
	AO18						Yes No
	AP18						Yes No

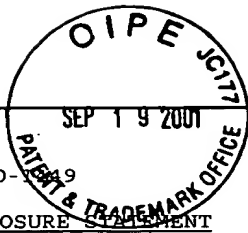
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>18</u>	Press Release, "AvantGo Names Head of Dell Online, Richard Owen, CEO: Mobile Computing Leader Bolsters Management Team With Key New Hires," January 25, 2000, AvantGo, Inc.
	AS	<u>18</u>	Press Release, "AvantGo Expands Relationship with InfoSpace.com: AvantGo to offer InfoSpace.com's Mobile Private Label Portals to Users of Palm Computing Platform, Windows CE and Wireless PDAs," 2 pages, January 18, 2000, AvantGo, Inc.
	AT	<u>18</u>	Press Release, "AvantGo.Com Available to Macintosh Users," January 5, 2000, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-19

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA19						
	AB19						
	AC19						
	AD19						
	AE19						
	AF19						
	AG19						
	AH19						
	AI19						
	AJ19						
	AK19						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL19						Yes No
	AM19						Yes No
	AN19						Yes No
	AO19						Yes No
	AP19						Yes No

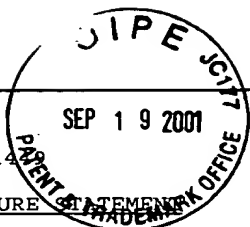
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>19</u>	Press Release, "Verian Technologies and AvantGo Team Up to Resell Mobile Procurement Application: Bundled Software Package from Verian Provides Improved Inventory Management for Mobile Professionals," 2 pages, January 3, 2000, AvantGo, Inc.
	AS	<u>19</u>	Press Release, "AvantGo Drives Healthcare Market Adoption of Handheld Devices: CHW, Mass General, McKessonHBOC, US Surgical, Norton Healthcare, Handheldmed.com, FitForAll.com and Asimba.com Select AvantGo to Deliver Critical Health Information to Mobile Devices," 3 pages, December 14, 1999, AvantGo, Inc.
	AT	<u>19</u>	Press Release, "AvantGo Announces New AvantGo Enterprise Publisher: Mobile Leader Expands Enterprise Offerings to Extend Corporate Web Content to Mobile Devices," 2 pages, December 6, 1999, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-14  
INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009

APPLICATION NO.  
09/705,927

APPLICANT  
Kloba et al.

FILING DATE  
November 6, 2001

GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA20						
	AB20						
	AC20						
	AD20						
	AE20						
	AF20						
	AG20						
	AH20						
	AI20						
	AJ20						
	AK20						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL20						Yes No
	AM20						Yes No
	AN20						Yes No
	AO20						Yes No
	AP20						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>20</u>	Press Release, "Go Network Delivers Mobile Solutions with AvantGo: Partnership to Give Wireless Users Access to GO Network Anytime, Anywhere," 2 pages, November 11, 1999, AvantGo, Inc.
	AS	<u>20</u>	Press Release, "AvantGo Delivers Award-Winning Mobile Computing Software and Popular Consumer Internet Service to Europe: Company Expands into Europe; Phillip Hibberd to Head European Operations," 2 pages, November 1, 1999, AvantGo, Inc.
	AT	<u>20</u>	Press Release, "AvantGo Mobile Unity Brings the Web to Wireless Devices: Mobile Computing Leader Brings the Web to Internet-enabled Phones and Wireless Handheld Devices; Integrates with Wireless Solutions," 4 pages, October 25, 1999, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1349

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA21						
	AB21						
	AC21						
	AD21						
	AE21						
	AF21						
	AG21						
	AH21						
	AI21						
	AJ21						
	AK21						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL21						Yes No
	AM21						Yes No
	AN21						Yes No
	AO21						Yes No
	AP21						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>21</u>	Press Release, "Leading Mobile Computing Companies Form Industry Association: Puma, Aether Systems, Attachmate, AvantGo, Certicom, Globalware, Epocrates and the Windward Group Establish Mobile Application Link Forum to Advance and Promote Open Source Communications Protocol for Networked Applications, 3 pages, October 18, 1999, AvantGo, Inc.
	AS	<u>21</u>	Press Release, "AvantGo Ships with New Special Edition Palm Vx™ Organizer," 2 pages, October 13, 1999, AvantGo, Inc.
	AT	<u>21</u>	Press Release, "FT.Com and AvantGo Put the Latest International Business News in the Palm of Your Hand," 2 pages, October 4, 1999, AvantGo, Inc.

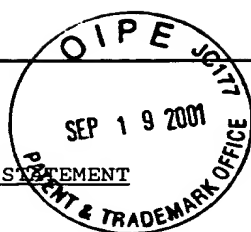
EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
		AA22					
		AB22					
		AC22					
		AD22					
		AE22					
		AF22					
		AG22					
		AH22					
		AI22					
		AJ22					
		AK22					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
		AL22					Yes No
		AM22					Yes No
		AN22					Yes No
		AO22					Yes No
		AP22					Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>22</u>	Press Release, "Amazon.com First to Join AvantGo.com E-Commerce Initiative," 2 pages, October 4, 1999, AvantGo, Inc.
	AS	<u>22</u>	Press Release, "AvantGo Teams With HP: AvantGo.com Now Available on the New HP Jornada 430se Palm-size PC," 2 pages, September 27, 1999, AvantGo, Inc.
	AT	<u>22</u>	Press Release, "Sony Online Entertainment and AvantGo Announce Jeopardy! 2001 Online to be Delivered to Users of Handheld Devices: The Station @sony.com ventures beyond the Internet to extend distribution," 2 pages, September 20, 1999, AvantGo, Inc.

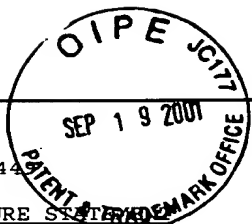
EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-144

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA23						
	AB23						
	AC23						
	AD23						
	AE23						
	AF23						
	AG23						
	AH23						
	AI23						
	AJ23						
	AK23						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL23						Yes No
	AM23						Yes No
	AN23						Yes No
	AO23						Yes No
	AP23						Yes No

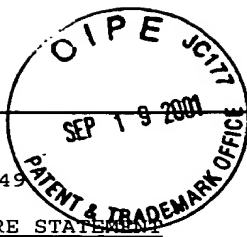
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>23</u>	Press Release, "AvantGo.com Scales to Showcase the Power of AvantGo Enterprise 3: Free Interactive Service Delivers More Than 15 Million Web Pages Daily to Hundreds of Thousands of Users," 2 pages, September 7, 1999, AvantGo, Inc.
	AS	<u>23</u>	Press Release, "AvantGo Enterprise 3 Breaks Barrier Between Enterprise and Handhelds: Attachmate Corporation and AvantGo enter Into Business Alliance," 3 pages, September 7, 1999, AvantGo, Inc.
	AT	<u>23</u>	Press Release, "AvantGo Signs CFO Thomas Hunter: Former IBM and First Data Corp. Executive Joins Leading Handheld Software Provider," August 23, 1999, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA24						
	AB24						
	AC24						
	AD24						
	AE24						
	AF24						
	AG24						
	AH24						
	AI24						
	AJ24						
	AK24						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL24						Yes No
	AM24						Yes No
	AN24						Yes No
	AO24						Yes No
	AP24						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	24	Press Release, "Microsoft and AvantGo Deliver Personalized Content from Slate to AvantGo.com: Customized Information from Slate Now Available to Users of Palm OS- and Windows CE-Based Handheld Devices Through AvantGo.com, 2 pages, August 9, 1999, AvantGo, Inc.
	AS	24	Press Release, "Salon.com to Provide Content for Palm Pilots and other Handheld Devices through AvantGo.com: News, Technology and other content from Salon.com now available to over 300,000 AvantGo.com subscribers," 2 pages, July 26, 1999, AvantGo, Inc.
	AT	24	Press Release, "WisdomWare Partners with AvantGo to Provide Handheld Marketing and Sales Intelligence Solution: Reseller Agreement Enables Planned WisdomWare AnyWhere™ Service," 2 pages, July 26, 1999, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA25						
	AB25						
	AC25						
	AD25						
	AE25						
	AF25						
	AG25						
	AH25						
	AI25						
	AJ25						
	AK25						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL25						Yes No
	AM25						Yes No
	AN25						Yes No
	AO25						Yes No
	AP25						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>25</u>	Press Release, "Ontheroad.com Snapshots Double Every Two Weeks with AvantGo.com," 2 pages, July 19, 1999, AvantGo, Inc.
	AS	<u>25</u>	Press Release, "AvantGo Partners with Vicinity to Provide Mapblast! Maps and directions to Handheld Device Users Through AvantGo.com," 2 pages, June 28, 1999, AvantGo, Inc.
	AT	<u>25</u>	Press Release, "Microsoft and 3Com Invest in AvantGo: Mobile Computing Leader takes \$14.7 Million in Third Round Funding from Microsoft, 3Com, Faye Sarofim & Co., 21st Century Internet Venture Partners, H & Q Venture Associates and Adobe Ventures," 3 pages, June 18, 1999, AvantGo, Inc.

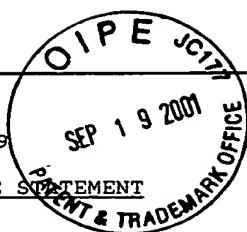
EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,923APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA26						
	AB26						
	AC26						
	AD26						
	AE26						
	AF26						
	AG26						
	AH26						
	AI26						
	AJ26						
	AK26						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL26						Yes No
	AM26						Yes No
	AN26						Yes No
	AO26						Yes No
	AP26						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	26	Press Release, "AvantGo Teams with The Wall Street Journal Interactive Edition to Introduce New Personalized Content for AvantGo.com: AvantGo.com Provides News Services to PalmOS and Windows CE handheld users," 2 pages, May 17, 1999, AvantGo, Inc.
	AS	26	Press Release, "AvantGo.com Bolstered by Name Brand Handheld Makers, Wireless Providers and Content Publishers: Microsoft, Phillips, Casio, IBM, AT&T Wireless, GoAmerica, Novatel Wireless and Others Endorse Interactive Service with 100 Optimized Channels from Leading Content Providers," 6 pages, May 10, 1999, AvantGo, Inc.
	AT	26	Press Release, "AvantGo Unleashes AvantGo.com, the First Free Interactive Service for Mobile Device Users: New Personalized Service Puts the Power of the Web in the Palm of Your Hand," 3 pages, May 10, 1999, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,923APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA27						
	AB27						
	AC27						
	AD27						
	AE27						
	AF27						
	AG27						
	AH27						
	AI27						
	AJ27						
	AK27						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL27						Yes No
	AM27						Yes No
	AN27						Yes No
	AO27						Yes No
	AP27						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>27</u>	Press Release, "David Harris Joins AvantGo as Vice President of Worldwide Sales and Business Development: Former Member of Adobe Senior Management Signs on with Industry-Leading Mobile Computing Company," 2 pages, April 19, 1999, AvantGo, Inc.
	AS	<u>27</u>	Press Release, "AvantGo Logo Created by 1185 Design Recognized as Outstanding: Design Receives Prestigious Communications Arts (CA) Magazine Award; Logo to Appear in CA and American Corporate Identity Publications," March 29, 1999, AvantGo, Inc.
	AT	<u>27</u>	Press Release, "AvantGo Licenses Certicom's SSL Plus for Embedded Systems: Advanced Certicom security Technology now Available to Mobile Enterprise customers Using AvantGo with Windows CE and Palm Computing handhelds," 2 pages, April 13, 1999, AvantGo, Inc.

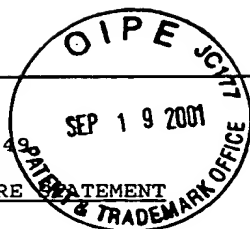
EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA28						
	AB28						
	AC28						
	AD28						
	AE28						
	AF28						
	AG28						
	AH28						
	AI28						
	AJ28						
	AK28						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL28						Yes No
	AM28						Yes No
	AN28						Yes No
	AO28						Yes No
	AP28						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	28	Press Release, "AvantGo Integrates Seamlessly with New Minstrel III by Novatel Wireless: Interactive Wireless Data Capabilities Allow Real-time Access to Critical Information on Palm Computing Platform Devices," 2 pages, April 6, 1999, AvantGo, Inc.
	AS	28	Press Release, "AvantGo and Microsoft Silicon Valley Developer Center to Offer Free Seminars to Enterprises Interested in Extending Applications to Windows CE-Based Devices: Attendees Can Register Today for Technical Sessions on April 26-27 and May 24-25," 2 pages, March 16, 1999, AvantGo, Inc.
	AT	28	Press Release, "AvantGo and the Windward Group Offer Free Seminars to Enterprises Interested in Extending Applications to Mobile Devices: Attendees Can Register Today for Bay Area Sessions on April 28 & 29," 2 pages, March 15, 1999, AvantGo, Inc.

EXAMINER

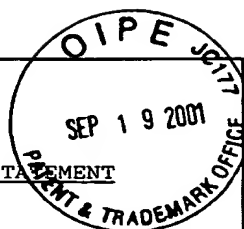
DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA29						
	AB29						
	AC29						
	AD29						
	AE29						
	AF29						
	AG29						
	AH29						
	AI29						
	AJ29						
	AK29						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL29						Yes No
	AM29						Yes No
	AN29						Yes No
	AO29						Yes No
	AP29						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>29</u>	Press Release, "AvantGo Recognized Again for Excellence in Mobile Computing: Mobile Insights Chooses AvantGo as Best Mobile Computing Solution in "Wireless Internet and Intranet" Category," 2 pages, March 8, 1999, AvantGo, Inc.
	AS	<u>29</u>	Press Release, "AvantGo and Puma Deliver Open Source Code for Handheld-to-Server Connectivity: Microsoft, Sybase and Symbol Support Mobile Application Link as Key to Corporate Handheld Market Growth," 2 pages, March 1, 1999, AvantGo, Inc.
	AT	<u>29</u>	Press Release, "AvantGo Takes Home Seven Leading Industry Awards: Hot Mobile Computing Software Company Recognized During 1998 for Creativity, Innovation and Candor," February 8, 1999, AvantGo, Inc.

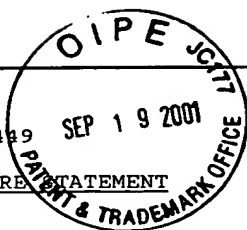
EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA30						
	AB30						
	AC30						
	AD30						
	AE30						
	AF30						
	AG30						
	AH30						
	AI30						
	AJ30						
	AK30						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL30						Yes No
	AM30						Yes No
	AN30						Yes No
	AO30						Yes No
	AP30						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	30	Press Release, "AvantGo Receives Two Awards from Tap Magazine," February 26, 1999, AvantGo, Inc.
	AS	30	Press Release, "Symbol Technologies and AvantGo Announce Relationship To Provide Barcode Scanning Web Client on Symbol's New Palm Terminals," 2 pages, February 1, 1999, AvantGo, Inc.
	AT	30	Press Release, "AvantGo Announces Support for the Latest Version of Windows CE for the Palm-Size PC: Leader in Managing Mobile Information Allows its Growing Number of Users to Take Advantage of More Windows CE Functionality, Including Color," 2 pages, February 1, 1999, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA31						
	AB31						
	AC31						
	AD31						
	AE31						
	AF31						
	AG31						
	AH31						
	AI31						
	AJ31						
	AK31						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL31						Yes No
	AM31						Yes No
	AN31						Yes No
	AO31						Yes No
	AP31						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	31	Press Release, "InfoSpace.com and AvantGo Deliver New Optimized Channels of Web Content to Handheld Devices: Companies introduce a comprehensive offering of Web content for handhelds," 2 pages, January 28, 1999, AvantGo, Inc.
	AS	31	Press Release, "CNNfn and AvantGo Team Up to Help Users Keep Track of Breaking Business News on their Handheld Devices," 2 pages, January 26, 1999, AvantGo, Inc.
	AT	31	Press Release, "CommerceNet Awards Ecommerce Innovation: AvantGo, Brokat, DealTime, eBay, and General Magic Receive Awards," December 16, 1998, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA32						
	AB32						
	AC32						
	AD32						
	AE32						
	AF32						
	AG32						
	AH32						
	AI32						
	AJ32						
	AK32						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL32						Yes No
	AM32						Yes No
	AN32						Yes No
	AO32						Yes No
	AP32						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	32	Press Release, "AvantGo and Puma Technology Join Forces on Open Industry Initiative for Mobile Device/Corporate Enterprise Application Connectivity: Mobile Application Link Initiative Lauded as Key to Market Growth," 3 pages, December 2, 1998, AvantGo, Inc.
	AS	32	Press Release, "The Sporting News to Deliver News, Scores to Handheld Devices: The Sporting News Teams with AvantGo to Offer In-Depth News, Analysis and Scores to Portable Platforms," 2 pages, November 20, 1998, AvantGo, Inc.
	AT	32	Press Release, "Knight Ridder Real Cities and AvantGo Team up to Provide City Sites, News and Information on Handheld Computers: Visitors to Sites Have a Chance to Win Free Palm III Organizers in Introductory Contest," 2 pages, November 18, 1998, AvantGo, Inc.

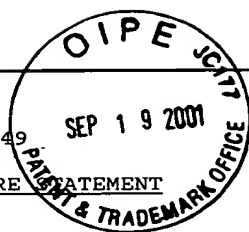
EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILED DATE
	AA33						
	AB33						
	AC33						
	AD33						
	AE33						
	AF33						
	AG33						
	AH33						
	AI33						
	AJ33						
	AK33						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL33						Yes No
	AM33						Yes No
	AN33						Yes No
	AO33						Yes No
	AP33						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	33	Press Release, "AvantGo and Oracle Team up to Deliver Mobile Applications to Handheld Devices: Combination of AvantGo and Oracle Lite Enables Unique Mobile Device Access to Oracle8i," 2 pages, November 9, 1998, AvantGo, Inc.
	AS	33	Press Release, "Ziff-Davis and AvantGo Bring Award Winning Content From ZDNet.com to Handheld Devices," 2 pages, October 26, 1998, AvantGo, Inc.
	AT	33	Press Release, "USA Today Online Partners with AvantGo to Deliver News, Sports & Money Briefs, Travel Tips and Dow Jones Charts to Users of Handheld Devices," 2 pages, October 20, 1998, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA34						
	AB34						
	AC34						
	AD34						
	AE34						
	AF34						
	AG34						
	AH34						
	AI34						
	AJ34						
	AK34						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL34						Yes No
	AM34						Yes No
	AN34						Yes No
	AO34						Yes No
	AP34						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>34</u>	Press Release, "IndustryWeek and AvantGo Team Up to Deliver Management Best Practices News and Information to Users of Handheld Devices," 2 pages, October 6, 1998, AvantGo, Inc.
	AS	<u>34</u>	Press Release, "The Industry Standard and AvantGo Team Up to Deliver News to Portable Devices: New Business Publication Takes Advantage of Publishing Trend Toward New Delivery Formats," 2 pages, August 24, 1998, AvantGo, Inc.
	AT	<u>34</u>	Press Release, "AT&T Executive Joins AvantGo Board of Directors: Robert J. Lesko Brings Global Services Expertise to Hot Start-Up," August 10, 1998, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILED DATE
	AA35						
	AB35						
	AC35						
	AD35						
	AE35						
	AF35						
	AG35						
	AH35						
	AI35						
	AJ35						
	AK35						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL35						Yes No
	AM35						Yes No
	AN35						Yes No
	AO35						Yes No
	AP35						Yes No

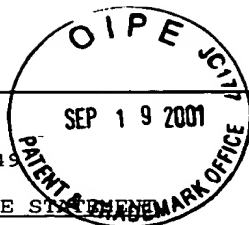
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	35	Press Release, "AvantGo Announces Agreement to Deliver News From The Wall Street Journal Interactive Edition to Handheld Computers," 2 pages, August 3, 1998, AvantGo, Inc.
	AS	35	Press Release, "Get Your Five-Day Weather Forecast-On A Handheld: Weather24 and AvantGo Deliver "Weather to Go" Content for Mobile Computer Users," 2 pages, June 29, 1998, AvantGo, Inc.
	AT	35	Press Release, "News America Digital Publishing Teams with AvantGo to Provide News, Sports, Business and Entertainment Information to Mobile Users," 2 pages, June 22, 1998, AvantGo, Inc.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA36						
	AB36						
	AC36						
	AD36						
	AE36						
	AF36						
	AG36						
	AH36						
	AI36						
	AJ36						
	AK36						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL36						Yes No
	AM36						Yes No
	AN36						Yes No
	AO36						Yes No
	AP36						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	36	Press Release, "AvantGo Secures \$3.5 Million in Venture Capital: Hambrecht & Quist, Adobe Ventures and 21 <sup>st</sup> Century Internet Venture Partners Invest; Chris Hollenbeck Joins AvantGo Board of Directors," 2 pages, June 8, 1998, AvantGo, Inc.
	AS	36	Press Release, "AvantGo Signs Stuart Read As Vice President of Marketing: Past Founder of Diba Joins Fast Growing Mobile Computing Company," May 26, 1998, AvantGo, Inc.
	AT	36	Press Release, "AvantGo Teams with Leading Publishers to Serve Up News for Mobile Users: Wired Digital, The New York Times, Mercury Center, InfoWorld and CNET Deliver Content for HandHelds," 3 pages, May 18, 1998, AvantGo, Inc.

EXAMINER

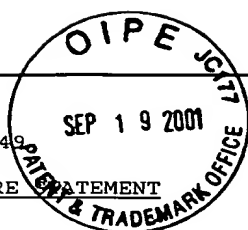
DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1933.0010009APPLICATION NO.  
09/705,927APPLICANT  
Kloba et al.FILING DATE  
November 6, 2001GROUP  
2154

RECEIVED  
SEP 20 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA37						
	AB37						
	AC37						
	AD37						
	AE37						
	AF37						
	AG37						
	AH37						
	AI37						
	AJ37						
	AK37						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL37						Yes No
	AM37						Yes No
	AN37						Yes No
	AO37						Yes No
	AP37						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	37	Press Release, "AvantGo Wins DBMS Magazine Editor's Choice Award: Hot Mobile Computing Software Start-Up Recognized for Creativity and Innovation," April 20, 1998, AvantGo, Inc.
	AS	37	Press Release, "AvantGo Licenses Java Technology to Palm Computing for the Conduit Development Kit, Java Edition: Technology Extends Enterprise Java Applications to the 3Com Palm Pilot Connected Organizer," 2 pages, March 23, 1998, AvantGo, Inc.
MA	AT	37	"Shop for Everything: Store Your Online Bookmarks or Favorites on the Web," <a href="http://www.shop-for-everything.com/freestuff/bookmarks-favorites.html">http://www.shop-for-everything.com/freestuff/bookmarks-favorites.html</a> , last visited July 13, 2000, 1 page.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.